

FORM 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

13033.10US01

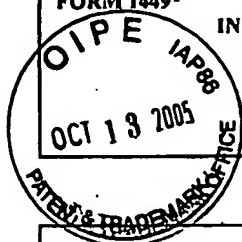
Application Number:

10/665,941

Applicant: KRUEGER ET AL.

Filing Date: 09/19/03

Group Art Unit: 3738



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.W./	4,909,250	03/20/1990	Smith			
↓	4,946,035	08/07/1990	Grimm et al.			
	6,387,113	05/14/2002	Hawkins et al.			
	6,432,035	08/13/2002	Ravins et al.			
↓	6,530,896	03/11/2003	Elliott			
/A.W./	6,626,916	09/30/2003	Yeung et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.W./		Ersek et al., "Minimally Invasive Macro Implants," <i>Worldplast</i> , Vol. 1, No. 4, pp. 275-285 (1996).

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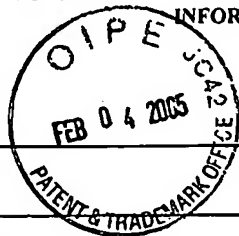
/Amanda Walker/

DATE CONSIDERED

08/21/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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/A.W./	6,216,702 B1	04/17/2001	Gjersøe			
↓	2004/0045556 A1	03/11/2004	Nelson et al.			
↓	6,748,950 B2	06/15/2004	Clark et al.			
/A.W./	2004/0134491 A1	07/15/2004	Pflueger et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
/A.W./	44 12 190 A1	10/12/1995	DE			X	
/A.W./	199 20 114 A1	11/09/2000	DE			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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/A.W./	5,284,161	02/08/1994	Karell			
	5,456,662	10/10/1995	Edwards et al.			
	5,514,131	05/07/1996	Edwards et al.			
	5,674,191	10/07/1997	Edwards et al.			
	5,718,702	02/17/1998	Edwards			
	5,792,067	08/11/1998	Karell			
	5,843,021	12/01/1998	Edwards et al.			
	5,988,171	11/23/1999	Sohn et al.			
	6,098,629	08/08/2000	Johnson et al.			
	6,250,307 B1	06/26/2001	Conrad et al.			
	6,431,174 B1	08/13/2002	Knudson et al.			
	6,513,530 B2	02/04/2003	Knudson et al.			
	6,523,542 B2	02/25/2003	Knudson et al.			
/A.W./	6,578,580 B2	06/17/2003	Conrad et al.			

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.W./		Boudewyns, A. et al., "Temperature-controlled Radiofrequency Tissue Volume Reduction of the Soft Palate (Somnoplasty®) in the Treatment of Habitual Snoring: Results of a European Multicenter Trial," <i>Acta Otolaryngol</i> , Vol. 120, pp. 981-985 (2000).
		Brietzke, S. et al., "Injection snoreplasty: How to treat snoring without all the pain and expense," <i>Otolaryngology-Head and Neck Surgery</i> , Vol. 124, No. 5, pp. 503-510 (May 2001).
		Cole, P. et al., "Snoring: A Review and a Reassessment," <i>The Journal of Otolaryngology</i> , Vol. 24, No. 5, pp. 303-306 (1995).
/A.W./		Coleman, S. et al., "Midline radiofrequency tissue reduction of the palate for bothersome snoring and sleep-disordered breathing: A clinical trial," <i>Otolaryngology-Head and Neck Surgery</i> , Vol. 122, No. 3, pp. 387-394 (March 2000).

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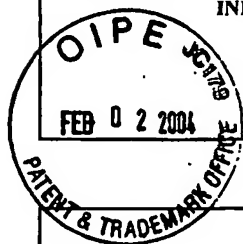
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/A.W./		Harries, P. et al., "Review Article, The surgical treatment of snoring," <i>The Journal of Laryngology and Otology</i> , Vol. 110, pp. 1105-1106 (December 1996).
		Huang, L. et al., "Biomechanics of snoring," <i>Endeavour</i> , Vol. 19, No. 3, pp. 96-100 (1995).
		LaFrentz et al., "Palatal Stiffening Techniques for Snoring in a Novel Canine Model," <i>Abstracts of the Twenty-Second Annual Mid Winter Research Meeting of the Association for Research in Otolaryngology</i> , Abstract No. 499, Vol. 22, p. 125-126 (February 13-18, 1999).
		Lorenz, C., "If he Snores-what can you do about it," <i>Today's Woman</i> , 2 pgs. (July 1948).
		Parker, J., "Clinical Scientific Feature, An Overview of Snoring and Obstructive Sleep Apnea, Part One: Evaluation and Diagnosis," <i>Northwest Dentistry</i> , pp. 17-22 (January-February 1995).
		Schwartz, R. et al., "Effects of electrical stimulation to the soft palate on snoring and obstructive sleep apnea," <i>The Journal of Prosthetic Dentistry</i> , Vol. 76, No. 3, pp. 273-281 (September 1996).
/A.W./		Wiltfang, J. et al., "First results on daytime submandibular electrostimulation of suprahyoidal muscles to prevent night-time hypopharyngeal collapse in obstructive sleep apnea syndrome," <i>International Journal of Oral & Maxillofacial Surgery</i> , Vol. 28, pp. 21-25 (1999).

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A circular stamp from the Patent and Trademark Office. The text "PATENT AND TRADEMARK OFFICE" is written around the bottom half of the circle. The date "FEB 24 2004" is stamped in the center. At the top, the words "O-IPE" and "JC109" are visible, along with the word "INFO" to the right.

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*Substitute Disclosure Statement Form (PTO-1449)

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/A.W./	WO 2005/082452 A1	09/25/2005	PCT				
/A.W./	WO 2006/072571 A1	07/13/2006	PCT				
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